

Governance and Audit Committee

Meeting Venue

By Zoom

Meeting Date

Friday, 29 September 2023

Meeting Time

10.00 am

For further information please contact

Connor Farmer

Democratic and Scrutiny Support Officer

connor.farmer1@powys.gov.uk



County Hall
Llandrindod Wells
Powys
LD1 5LG

22/09/2023

Mae croeso i chi siarad yn Gymraeg neu yn Saesneg yn y cyfarfod.
Rhowch wybod pa iaith rydych am ei defnyddio erbyn hanner dydd, ddau ddiwrnod
gwaith cyn y cyfarfod.

You are welcome to speak Welsh or English in the meeting.

Please inform us of which language you wish to use by noon, two working days
before the meeting.

AGENDA

1.	APOLOGIES	10.00 AM
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To receive apologies for absence.

2.	DISCLOSURES OF INTEREST	
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To receive any disclosures of interest by Members relating to items to be considered at the meeting.

3.	MINUTES AND ACTION LOG	
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(i) To authorise the Chair to sign the minutes of the previous meeting held as follows as a correct record:

- 23-06-2023
- 28-07-2023

(ii) To receive and consider the Action Log.

(Pages 5 - 68)

Audit Wales Items

4.	DRAFT WELLBEING OBJECTIVES EXAMINATION REPORT	10.10 AM
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To receive and note the Audit Wales – Draft Wellbeing Objectives Examination Report.

(Pages 69 - 86)

Corporate Items		
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5.	Q2 FRAUD REPORT	10.20 AM
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To receive a report on fraud from the Head of Finance.

(Pages 87 - 96)

6.	CORPORATE SAFEGUARDING BOARD ACTIVITY UPDATE	10.30 AM
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To receive and consider a report of the Director of Social Services and Housing.

(Pages 97 - 102)

7.	COMMERCIAL UPDATE	10.45 AM
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To receive an update from the Professional Lead - Procurement and Commercial Services and the Head of Finance.

8.	FLEXIBLE WORKING ARRANGEMENTS PRESENTATION	11.05 AM
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To receive and consider a presentation of the Head of Workforce and Organisational Development.

(Pages 103 - 112)

9.	GOVERNANCE AND AUDIT COMMITTEE TOR/CONSTITUTION UPDATE	11.25 AM
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To receive an update regarding the Governance and Audit Committee Terms of Reference (ToR) from the Head of Finance.

SWAP Items		
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10.	SWAP PROGRESS REPORT	11.30 AM
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To receive and consider a report from the Assistant Director (Powys) - SWAP.

(Pages 113 - 128)

Risk Deep Dive

11.	FIN0001 - DELIVER FINANCIALLY SUSTAINABLE BUDGET	11.40 AM
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To receive and consider a Risk Deep Dive presentation from the Deputy-Head of Finance.

N.B. The attached FIN0001 Risk Report is provided for background information to support the Risk Deep Dive item.

(Pages 129 - 134)

12.	WORKING GROUPS	12.10 PM
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(i) To note the following reports from the Governance and Audit Committee Working Groups:

- 16-08-2023 Internal Audit Working Group Report.
- 15-09-2023 HoWPS Working Group Report

(ii) To receive an update from the representative to Finance Panel.

(Pages 135 - 138)

13.	WORK PROGRAMME	
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To consider the forward work programme (attached) and consider whether any additional items should be included.

(Pages 139 - 140)

14.	PCC TECHNOLOGY ASSURANCE FRAMEWORK REVIEW - SENIOR OFFICER RESPONSE	12.25 PM
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To receive the response from the Head of Economy and Digital in relation to the Technology Assurance Framework Review.

15.	Q1 STRATEGIC RISK REPORT	12.45 PM
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To receive and consider the report of the Cabinet Member for Finance and Corporate Transformation.

(Pages 141 - 174)

16.	EXEMPT ITEM	
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To consider passing the following Resolution:

RESOLVED to exclude the public for the following item of business on the grounds that there would be disclosure to them of exempt information under category 3 of The Local Authorities (Access to Information) (Variation) (Wales) Order 2007).

The Monitoring Officer has determined that category 3 of the Access to Information Procedure Rules applies to the following item. His view on the public interest test (having taken account of the provisions of Rule 14.8 of the Council's Access to Information Rules) was that to make this information public would disclose information relating to the financial or business affairs of any particular person (including the authority holding that information).

These factors in his view outweigh the public interest in disclosing this information. Members are asked to consider these factors when determining the public interest test, which they must decide when considering excluding the public from this part of the meeting.

17.	Q1 STRATEGIC RISK CLOSED REPORT	
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To receive and consider a closed report of the Cabinet Member for Finance and Corporate Transformation.

Committee Reflection

Following the close of the meeting, the Committee is asked to take 5 to 10 minutes to reflect on today's meeting.